

PURCHASE ORDER ATTACHMENT TCC-12

(March 2004)

CONFIGURATION CONTROL, DEVIATIONS AND WAIVERS

- I. Purpose. This Purchase Order Attachment states the requirements for preparation and submission of requests for deviations or waivers from the applicable Buyer's and Seller's engineering drawings, specifications, Acceptance Test Procedures, Process Specifications, or other technical requirements imposed by the Purchase Order towards the acceptance of the procured hardware item.
- II. Definitions.
- A. Deviation: A planned variance from the configuration documentation specified on the PO which requires specific written authorization, granted prior to the manufacture of an item for a specific number of units or a specified period of time.
1. Major Deviation: A deviation which affects the following:
 1. Cost
 2. Schedule
 3. Interface characteristics defined in the drawing or specification
 4. System requirements defined in the specification (if applicable)
 5. Safety
 2. Minor Deviation: Any deviation that is not a major deviation.
- B. Waiver: An unplanned variance from the configuration documentation specified on the PO requiring written authorization to accept an item which, during manufacture, or after having been submitted for inspection or acceptance (including test), is found to depart from specified requirements, but nevertheless is considered suitable for use "as is" or after repair by an approved method.
1. Major Waiver: A waiver which affects the following:
 1. Cost
 2. Schedule
 3. Interface characteristics defined in the drawing or specification
 4. System requirements defined in the specification (if applicable)
 5. Safety
 2. Minor Waiver: Any waiver that is not a major waiver.
- III. Requirements.
- A. The Seller should refer to criteria provided within EIA 649, paragraph 5.3.4 or MIL-STD-973, paragraphs 5.4.3 (Deviations) and 5.4.4 (Waivers), as guidance documents towards Configuration Management (CM) techniques being sought by the Buyer. These documents shall not be viewed as requirement documents.
- B. Authorized Deviations or Waivers are temporary departures from requirements and do not constitute a change to the configuration documentation identified on the PO. In the event that the

engineering departure will be permanent or affect the remaining number of deliverable units of the product, an engineering change is processed in accordance with the applicable PO Attachment for Engineering Change.

- C. If acceptable, deviations or waivers shall be approved by the Buyer in writing in accordance with paragraphs IV or V below and, if deemed necessary by the Buyer, due to retrofit requirements, etc., an equitable adjustment to the price and or other terms of the order shall be negotiated.
- D. Items shall not be delivered incorporating a known departure from documentation unless a request for a deviation or waiver has been processed in accordance with the requirements of this Attachment, or unless otherwise permitted by contractually authorized procedure.

IV. Requirements for Deviations.

- A. Prior to manufacture of an item, if the Seller considers it necessary to depart temporarily from the mandatory requirements of the engineering drawings and specifications for the items procured by this order, the Seller may request that a deviation be authorized. As an example, a deviation relating to an alternative material or process may be requested when it is claimed that the delivery schedule cannot be met unless the deviation is granted. A deviation would be chosen in lieu of an engineering change proposal because the documented design is superior to the alternative.
- B. Unless unusual circumstances exist, deviations affecting safety shall not be submitted. Suggested deviations which would affect service operation or maintenance should not be submitted or authorized as deviations. Such effects, if approved, should be covered by appropriate revisions in drawings and technical manuals, hence they should be proposed and processed as engineering change proposals in accordance with the applicable PO Attachment that directs the procedure for Engineering Changes.
- C. The Seller shall submit the request for deviation in Seller format. The minimal information, as presented by EIA 649, paragraph 5.3.4 or MIL-STD-973, paragraph 5.4.3, shall be provided on an "as needed" basis by the Buyer to evaluate the request with the Seller's request package.
- D. The Seller shall classify the request for deviation as either "Minor" or "Major" in accordance with the definitions stated above.
- E. Deviation Approval / Disapproval.
 - 1. The Seller shall not deliver items that incorporate a known deviation from the engineering drawings or specifications unless a deviation request has been submitted, approved by the Buyer, and the Seller has been notified of the approval in writing.
 - 2. In the event that the Buyer disapproves a deviation request, the Seller will be notified in writing and will be given the reasons for the disapproval.

V. Requirements for Waivers.

- A. An item which through error during manufacture does not conform to the engineering drawings and specifications for the items procured by this order shall not be delivered to the Buyer unless a waiver has been authorized by the Buyer. If a local Materiel Review Board (MRB) is properly constituted in accordance with the Buyer's requirements, the established practices of the MRB are in effect and shall be used for waivers which are classified as "Minor" in accordance with the definitions stated above.
- B. Unless unusual circumstances exist, waivers affecting safety shall not be submitted.

- C. When a need for waiver has been identified, the Seller shall submit the request for waiver in Seller format. The minimal information, as presented by EIA 649, paragraph 5.3.4 or MIL-STD-973, paragraph 5.4.4, shall be provided on an "as needed" basis by the Buyer to evaluate the request with the Seller's request package.
- D. The Seller shall classify the request for waiver as either "Minor" or "Major" in accordance with the definitions stated above.
- E. Waiver Approval / Disapproval.
 - 1. Unless otherwise specified by the order, waivers classified as "Minor" in accordance with the definitions stated above, may be granted (or disapproved) by the local MRB when such board is properly constituted.
 - 2. When MRB is not constituted with the Seller, and/or if the waiver is classified as a "Major" in accordance with the definitions stated above, then the Seller shall not deliver items that incorporate a known waiver from the engineering drawings or specifications unless a waiver request has been submitted, approved by the Buyer, and the Seller has been notified of the approval in writing.
 - 3. In the event that the Buyer disapproves a waiver request, the Seller will be notified in writing and will be given the reasons for the disapproval.